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Sulaeman, Allyn P., Gao, Yang, Dugmore, Tom et al. (2 more authors) (2021) From unavoidable food waste to advanced biomaterials : microfibrilated lignocellulose production by microwave-assisted hydrothermal treatment of cassava peel and almond hull. Cellulose. ISSN 0969-0239

<https://doi.org/10.1007/s10570-021-03986-5>

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# From unavoidable food waste to advanced biomaterials: microfibrillated lignocellulose production by microwave-assisted hydrothermal treatment of cassava peel and almond hull

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Received: 16 November 2020 / Accepted: 4 June 2021  
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**Abstract** Lignocellulose based nanomaterials are emerging green biosolids commonly obtained from wood pulp. Alternative feedstocks, such as unavoidable food waste, are interesting resources for nano/microfibers. This research reports the production and characterization of microfibrillated lignocellulose (MFLC) from cassava peel (CP) and almond hull (AH) via acid-free microwave-assisted hydrothermal treatment (MHT) at different temperatures (120–220 °C). During processing, the structural changes were tracked by ATR-IR, TGA, XRD, <sup>13</sup>C CPMAS NMR, zeta potential, HPLC, elemental analysis (CHN; carbon, hydrogen and nitrogen),

TEM and SEM analyses. The microwave processing temperature and nature of feedstock exerted a significant influence on the yields and properties of the MFLCs produced. The MFLC yields from CP and AH shifted by 15–49% and 31–73%, respectively. Increasing the MHT temperature substantially affected the crystallinity index (13–66% for CP and 36–62% for AH) and thermal stability (300–374 °C for CP and 300–364 °C for AH) of the MFLCs produced. This suggested that the MFLC from CP is more fragile and brittle than that produced from AH. These phenomena influenced the gelation capabilities of the fibers. AH MFLC pretreated with ethanol at low temperature gave better film-forming capabilities, while untreated and heptane pretreated materials formed stable hydrogels at solid concentration (2% w/v). At high processing temperatures, the microfibrils were separated into elementary fibers, regardless of pretreatment or feedstock type. Given these data, this work demonstrates that the acid-free MHT processing of CP and AH is a facile method for producing MFLC with potential applications, including adsorption, packaging and the production of nanocomposites and personal care rheology modifiers.

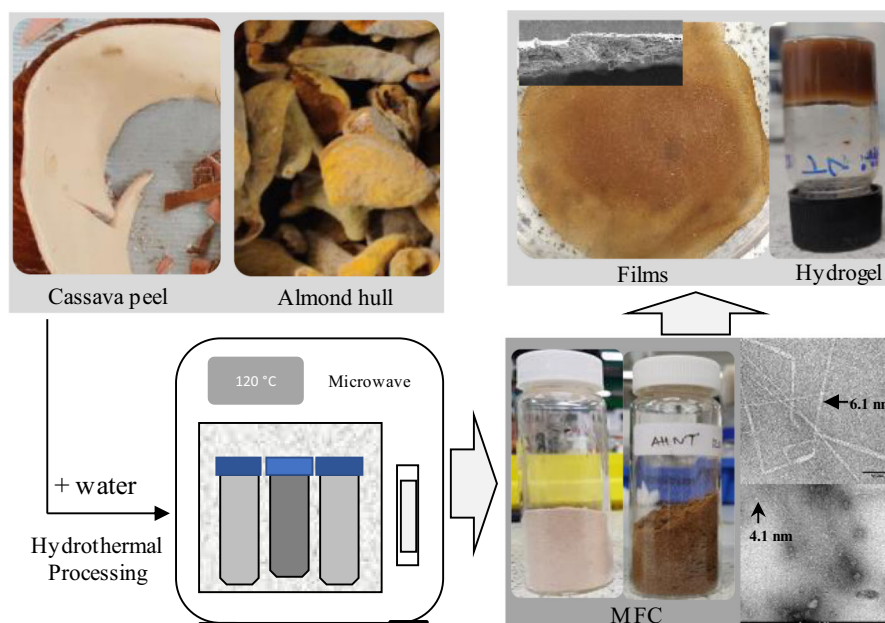
**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1007/s10570-021-03986-5>.

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## Graphic abstract



**Keywords** Microfibrillated lignocellulose · Microwave hydrothermal · Cassava peel · Almond hull

## Introduction

Presently, inefficiencies in the food system from farm to fork generates approximately 1.3 billion tonnes per year of food waste (both avoidable and unavoidable) (Matharu et al. 2016a). Food waste accounts for almost \$1 trillion in economic loss, notwithstanding negative environmental and social impacts (FAO 2014). Our current food supply chain is often linear, where considerable resources are lost (Ronzon et al. 2017). As such, food waste has become a global, highly topical, and modern-day dilemma because of its adverse economic, environmental and social impacts. A change from linear- to circular-thinking is required, where the resources are retained rather than lost.

Unavoidable food supply chain wastes generated because of primary and secondary processing are recognized as a potential, abundant and natural source of biobased chemicals, materials and bioenergy. For example, in 2018, the global production of cassava

(*Manihot esculenta* Crantz) amounted to 277 million tonnes (fresh root equivalent), with Nigeria, Thailand and Indonesia being the top three producers (FAO 2018). The main product from the cassava industry, e.g., tapioca flour, generates cassava peel as an unavoidable food waste, which represents approximately between 15 and 20% of the tuber (Pandey et al. 2000; Obadina et al. 2006). Whilst, global almond crops contribute to the production of 1.4 million tonnes of biomass per year which include shells, hulls, pruning wastes, leaves, skins, and inedible kernels (Holtman et al. 2015). During the processing of almonds, the kernel, shell and hull account for 15%, 33% and 52%, respectively, of the waste (Ferrandez-Villena et al. 2019). Both by-products, cassava peel and almond hull, are unavoidable and have the potential to be renewable materials for nanocellulose production.

The hierarchical defibrillation process of a bundle of cellulosic fibers using top-down destruction enables the production of micro- and nano-cellulose (Salas et al. 2014). A wide variety of methods, including chemical treatment (e.g., alkaline-acid treatment, 2,2,6,6-tetramethylpiperidine-1-oxyl radical (TEMPO) oxidation, delignification, maceration

and fractionation), enzymatic treatment (e.g., *Acetobacter xylinum*, and *Trichoderma reesei*) and physical treatments (high-pressure homogenization, steam explosion microfluidization, grinding, cryocrushing, microwave and ultrasound) allow for nanofibrillated cellulose (NFC) or microfibrillated cellulose (MFC) isolation from the non-cellulosic materials (Abdul Khalil et al. 2014; Nasir et al. 2017; Kabakcı and Hacıbektaşoğlu 2017; Ilyas et al. 2019; Syafri et al. 2019).

We recently reported an acid-free (and enzymatic-free) hydrothermal microwave-assisted method to produce mesoporous (nano)cellulose fibrils and crystals from biomass coined the Hy-MASS concept (De Melo et al. 2017; Matharu et al. 2018). Microwave-assisted hydrothermal treatment (MHT) offers several advantages over conventional heating, such as rapid and selective heating, internal heating from within substances, shorter reaction time, and controllable processes (Sonobe 2011).

This technology offers many improvements to the conventional procedures for nanocellulose production reported to date. Unlike traditional production of nanofibers, which typically requires use of harsh chemicals, the valorization of a feedstock via acid-free MHT (water alone) is a state-of-the-art concept for defibrillated lignocellulose production akin to microfibrils, nanofibrils, and nanocrystals. The production of microfibrillated lignocellulose materials can lead to a variety of applications, such as, chemical adsorption, manufacturing of cardboard packaging and composites, films and adhesives (Ewulonu et al. 2019; Oliaei et al. 2021). Although these nanofibers can still contain lignin and/or hemicellulose depending on hydrolysis temperature applied, they show outstanding features, such as low viscosity, good dispersability and excellent polymer matrix affinity (Jang et al. 2020; Oliaei et al. 2020).

The disintegration of cellulosic materials with a high lignin content via physical processes may slow down the fibrillation rate (Ämmälä et al. 2019). Additional pretreatment, for example, solvent extraction, could be performed to improve the hydrolysis of the fibers in microwave treatment, particularly at low or medium temperatures. The pretreatment process may impact the network of fibers and enable more access to hydroxyl hydrogen bonding (Lewandowska-Łańcucka et al. 2018) as non-cellulosic compounds from the biomass have already been extracted. Solvent

pretreatment, such as hot heptane extraction, removes non-polar and/or weakly polar compounds such as waxes or lipids. In contrast, hot ethanol extraction is used to dissolve polar compounds such as water, sugars, phenols, and tannins (Thakur and Arya 2014).

Thus, the aim of this work is to investigate the production of MFLC from cassava peel (CP) and almond hull (AH) via microwave-assisted hydrothermal treatment (MHT) (quantity, quality and hydrolysate composition), and analyze how and to what extent the inclusion of a solvent extraction pretreatment with ethanol (ET) or heptane (HP) affects the process. The MFLC produced will be investigated for its potential to form hydrogels and films as they can be used in a plethora of applications, such as packaging and personal care products (Syafri et al. 2019).

## Experimental section

### Materials

Fresh cassava tubers were purchased from Kirkgate Market, Leeds, England. The tubers were peeled, and the white inner layer was chopped into small pieces using a knife mill, dried, ground (using a coffee grinder) into a fine powder and stored until further required. Almond hulls were harvested and collected from ‘Marcona Almonds’ producer farms in Zaragoza, Spain. The hulls were sprayed with ethanol (96 vol%) to prevent mold growth during storage. The dried hulls were chopped with a knife mill, milled into a fine powder and stored until further required. The cellulose, hemicellulose and lignin content of neat CP and AH was determined by the classical titration method (Hu et al. 2014) and is given in the Supporting Information. All chemicals and solvents were purchased from Sigma Aldrich or VWR Chemical and used without further purification.

### Biomass pretreatment

The appropriate solvent [100 mL, either ethanol (96%) or heptane (99%)] was added to either CP or AH powder (20 g) contained in a round-bottomed flask equipped with a reflux condenser. The slurry was heated under reflux for 2 h. Thereafter, the mixture was cooled and filtered. The residues were isolated and dried for analysis and further experimentation. The

abbreviation ‘ET’ refers to samples pretreated with ethanol, while ‘HP’ refers to samples pretreated with heptane.

### MFLC production

Acid-free microwave-assisted hydrolysis was performed on CP and AH from three different samples: residue without pretreatment, ethanol extracted residue (ET) and heptane extracted residue (HP). All samples were processed in a CEM Mars 6 microwave system EasyPrep Plus® closed vessels (CEM Corp, North Carolina, United States) with a maximum energy of 600 W and ramp time of 20 min. The process was conducted at different temperatures (120, 170 and 220 °C) with 0 min hold time and a 5% (w/v) loading (calculated as biomass/deionized-water ratio, using 2 g of biomass and 40 mL of deionized water). After the microwave reaction, the slurry was filtered, and the residue washed with water, hot ethanol (2×) and acetone. Thereafter, the residue was dried (40 °C) for 72 h or until a constant weight was achieved. The filtered solution of all samples was analyzed by HPLC. The yield (%) of dry MFLC was calculated according to Eq. 1. All experiments were run in triplicate.

$$\text{Yield (\%)} = \frac{\text{Weight sample after hydrolysis (g)}}{\text{Weight sample before hydrolysis (g)}} \times 100 \quad (1)$$

### Hydrogel formation

MFLC hydrogels were produced at different concentrations [2–7% (w/v)], by adding deionized water to the MFLC hydrolyzed at 120 °C in a 7 mL vial. The mixture was homogenized using a Ystral Homogenizer D-79282 Dottingen X10/20 E3 (Ystral, Ballrechten-Dottingen, Germany) for 5 min at 20,000 rpm. Next, the gel was allowed to cool to ambient temperature. The gel formation was evaluated qualitatively by means of an inversion test.

### MFLC-based films

MFLC-based films were produced at a concentration of 0.2% (w/v) in deionized water. The mixture was homogenized using a Ystral Homogenizer D-79282

Dottingen X10/20 E3 for 5 min at around 20,000 rpm and sonicated for 10 min to spread homogeneously. Afterwards, the suspensions were poured into a sintered glass filter (40 mm diameter, pore size three) covered with a polytetrafluoroethylene (PTFE) membrane filter whilst under vacuum suction. The wet MFLC films were dried at around 40 °C and stored in Petri dishes. The morphology and cross-section of the films were analyzed by SEM.

### Instrumental analysis

The MFLC samples were analyzed by ATR-IR, TGA, XRD, <sup>13</sup>C CPMAS NMR, SEM, Zeta potential, CHN, TEM, and SEM. The theoretical higher heating value (HHV) was calculated from the CHN data using a modified Channiwala’s formula (Remón et al. 2018). Multiplication of %N by 6.25 (plant protein factor) affords the crude protein content (Mariotti et al. 2008). The X-ray diffraction (XRD) patterns were analyzed with an X-ray diffractometer (Bruker Powder XRD) to describe the value of the crystallinity index (CI) based on Segal’s method (Park et al. 2010). The hydrolysates produced at different temperatures were analyzed by HPLC and the method is given in the Supporting Information.

### Statistical analysis of the results

The experiments were conducted in triplicate. The influence of the processing temperature, feedstock and pretreatment has been analyzed using a one-way analysis of variance (one-way ANOVA) with 95% confidence. When the ANOVA analysis detected significant differences, a posthoc analysis utilizing the multiple ranges Fisher’s least significant difference (Fisher’s LSD) test was carried out to determine differences between pairs of data, i.e., either to analyze the effect of the microwave processing temperature, pretreatment method or feedstock nature. The LSD test results are presented by connection letters. Significant differences (with 95% confidence) between any pair of data can be ensured when letters are different.

## Results and discussion

### Compositional analysis

Compositional analysis, including the overall cellulose, hemicellulose and lignin content, of neat CP and AH is listed in Table 1. The lignin content appears to be the most significant difference between the two types of biomass. The lignin content of AH (11.41%) is almost four times higher than that of CP (3.09%). The %C and %H content is comparable for both feedstocks, but there is a significant difference in their %N content; CP contains significantly more N than AH. Furthermore, CP has a slightly higher HHV than AH.

Both types of biomass have lower cellulose and lignin content with respect to hemicellulose content. Pooja and Padmaja (2015) and Nanssou et al. (2016) reported similar physicochemical properties for cassava peel (Pooja and Padmaja 2015; Nanssou et al. 2016). The compositional analysis of almond hulls is in very good agreement with the results reported by others (González et al. 2005; Aktas et al. 2015; Remón et al. 2020).

### MFLC yield

Table 2 lists the MFLC yield (%) at different microwave processing temperature (120, 170 and 220 °C) for cassava peel without pretreatment (CP) and with ethanol- (CPET) and heptane- (CPHP) treated residues and, similarly, for almond hull without pretreatment (AH) and with ethanol- (AHET) and heptane- (AHHP) pretreatment. The images of the resultant MFLCs are shown in the Supporting Information, Figure S1. The MFLC yield for AH was always higher than for CP at all processing

temperatures. This indicates more significant hydrolysis reactions for CP as a feedstock than for AH, probably due to the lower lignin content in the former biomass. Irrespective of the feedstock type, the MFLC yield decreased with increasing of microwave processing temperature from 120 to 170 and to 220 °C. It is well known that hemicelluloses are leached/decomposed in the region 120–150 °C under microwave conditions, whilst leaching of amorphous cellulose from with cellulose occurs above 180 °C (De Melo, 2018). These changes are manifested in the crystal structure and crystallinity index (CI), thermogravimetric analysis profiles, <sup>13</sup>C CPMAS NMR, ATR-IR and hydrolysate profile, as discussed later.

### ATR-IR analysis

The ATR-IR spectra of neat CP and AH, pretreatment residue and MFLCs generated at different processing temperatures are given in Supporting Information, Figure S2. Table 3 summarizes the characteristic assignment bands observed. The ATR-IR spectra of all samples exhibit a characteristic broad absorption band centered at approximately 3300 cm<sup>-1</sup> corresponding to the O–H stretching vibration, accounting for hydroxyl groups within cellulose combined with residual or inherent trapped water. The broadness of this absorbance band is indicative of extensive hydrogen bonding (Hospodarova et al. 2018). The C–O polysaccharide skeletal structure is evidenced by very strong absorbance bands in the 1200–1000 cm<sup>-1</sup> region associated with C–O stretching mode. Specifically, the broad, prominent band at approximately 1150 cm<sup>-1</sup> is assigned to the glycosidic linkages (C–O–C) between glucose units and the ring stretching of glucose, thus leading to absorbance bands at 1175 and 895 cm<sup>-1</sup> (Wang and Lee 2011). C–H bonding is

**Table 1** Compositional analysis

Raw	% Cellulose	% Hemicellulose	% Lignin	%C	%H	%N	%O <sup>a</sup>	Crude protein	HHV <sup>b</sup> (MJ/kg)
CP	11.30 ± 0.8	22.39 ± 1.9	3.09 ± 0.8	41.63	6.11	1.57	50.85	9.81	16.47
AH	13.38 ± 0.8	24.06 ± 3.7	11.41 ± 2.0	41.26	5.71	0.86	52.16	5.38	15.72

The remainder may comprise, for example, lipids, starch, sugars, pectins, terpenoids, polyphenolic compounds), moisture and ash (see Fig. S4 A and D)

<sup>a</sup>Calculated by difference

<sup>b</sup>Calculated using a modified form of Channiwala's formula (Remón et al. 2018)



**Table 2** Yields (%) of CP and AH MFLC. Results are presented as mean  $\pm$  standard deviation (n = 3 samples)

MFLC	Yield (%)		
	120	170	220
CP	46.64 $\pm$ 6.22 (c, d, e)	31.42 $\pm$ 3.53 (f)	15.04 $\pm$ 3.94 (i)
CPET	43.93 $\pm$ 4.37 (d, e)	28.34 $\pm$ 2.40 (f, g)	22.21 $\pm$ 3.83 (g, h)
CPHP	47.54 $\pm$ 2.60 (c, d, e)	21.14 $\pm$ 3.46 (h, i)	19.13 $\pm$ 3.98 (h, i)
AH	73.27 $\pm$ 4.97 (a)	50.90 $\pm$ 3.84 (c)	30.93 $\pm$ 2.13 (f)
AHET	66.31 $\pm$ 5.24 (b)	45.14 $\pm$ 2.93 (c, d, e)	33.43 $\pm$ 3.64 (f)
AHHP	50.22 $\pm$ 4.42 (c, d)	42.90 $\pm$ 2.72 (e)	33.59 $\pm$ 3.90 (f)

Letters show statistically significant groups with 95% confidence. Values not sharing the same letter in each row and/or column are statistically different

**Table 3** Common ATR-IR bands of CP and AH MFLC (Coates 2006; Wang and Lee 2011)

Infrared band ( $\text{cm}^{-1}$ )	Functional group assignment
3345–3300	O–H stretching
2980–2850	C–H stretching
1735–1695	C=O stretching
1630–1600	O–H of water adsorbed
1530–1500	C=C stretching
1450–1425	CH <sub>2</sub> deformation
1380–1310	C–H deformation
1250–1235	O–H deformation
1175–1100	C–O–C stretching
1060–1000	C–O stretching
910–895	C–H ring stretching
895–885	C–H out of plane bending
670–650	C–OH out of plane bending

evidenced by C–H stretching vibrations at approx.  $2900\text{ cm}^{-1}$  (Coates 2006; Paschoal et al. 2015). Often, trapped water is detected by the O–H deformation mode, which is observed at approximately  $1600\text{ cm}^{-1}$  (Kumar et al. 2014).

The effect of microwave processing temperature on CP, either neat untreated (CP) or pretreated (CPET, CPHP) with respect to changes in functional groups, reveals the disappearance of the weak carbonyl absorption band centered at approximately  $1730\text{ cm}^{-1}$ . This is coupled with an increase and sharpening of the C–O and C–O–C absorption bands in the region  $1200\text{--}1000\text{ cm}^{-1}$  as the temperature increases from 120 to 170 °C. These changes are

attributed to the leaching of hemicellulosic and/or pectinaceous substances from both biomass types. The carbonyl absorption reappears ( $\sim 1700\text{ cm}^{-1}$ ) in MFLC processed at 220 °C (CP220, CPET220, and CPHP220), which may be attributed to the leaching of amorphous cellulose coupled with cellulose decomposition to afford *pseudo*-lignins that migrate to the surface of the material. *Pseudolignin* is derived via polymerization reactions from furfural and 5-hydroxymethyl furfural (5-HMF), and results in formation of spherical lignin-like droplets that block pores and/or deposit on the surface of cellulose (Sannigrahi et al. 2011; Hu et al. 2012; Pu et al. 2013; Shinde et al. 2018). Also, it may be that the original lignin content of the materials might not have been removed during the treatment. Nevertheless, as a result of hemicellulose and amorphous cellulose removal, the proportion of lignin (original and/or *pseudo*-lignin) increases, yielding an MFLC material mainly comprising cellulose and lignin. On visual inspection (Supporting Information Figure S1), samples processed at 220 °C were darker in color than their lower temperature counterparts. This connects well with the ATR-IR absorbance ( $\sim 1700\text{ cm}^{-1}$ ) band observed in darker samples, which may be attributed to condensed tannins and humins and/or migration of lignin from the cell walls to the exterior surface. Thus, the absorbance band at approximately  $1700\text{ cm}^{-1}$  may correspond to carbonyl stretching vibrations associated with ferulic and *para*-coumaric acid (Zhao et al. 2011; Chandra et al. 2016; Liu et al. 2017). A similar effect of microwave processing temperature with respect to changes in functional groups for AH, either untreated (AH) or pretreated (AHET, AHHP), was noted. Subtle changes

include a stronger carbonyl absorbance band, which persists up to 170 °C.

### Crystallinity index

The CI for all types of MFLC produced (Table 4) increases with increasing temperature. CP MFLC (63.1–65.7%) exhibits the highest CI. Interestingly, CP MFLCs have the lowest CI at 120 °C but exhibit the highest crystallinity at 220 °C. For example, the CI of CPHP at 120 °C changes from 17.1 to 65.7% at 220 °C, equating to a difference of 48.6%. At 120 °C, the AH samples possess crystallinity indexes from 36.6 to 45.8% and increase to 54.4–61.5% at 220 °C. The difference from the initial (120 °C) to final temperature (220 °C) is far less drastic; for example, AHHP shows a difference of 9.4%. Thus, the constituents within CP, irrespective of pretreatment or not, are more sensitive to leaching under microwave hydrolysis than are AH. This is in good agreement with the greater spread of hydrolysis reactions occurring for CP than AH, as commented earlier. Besides, a greater extent of hemicellulosic matter and amorphous cellulose is lost giving a higher final proportion of crystalline cellulose.

Interestingly, as shown in Table 1, the hemicellulose and cellulose composition of neat CP and AH is very similar; the only difference being the lignin content, which is significantly higher in AH. Thus, the higher amount of lignin in AH may be shielding or blocking the hydrolysis of hemicellulose and amorphous cellulose which hinders the defibrillation process (Ämmälä et al. 2019).

The XRD patterns for all samples at different stages of treatment are shown in Supporting Information, Figure S3. It is important to note that the XRD patterns are of processed lignocellulosic biomass that still contains some bio-minerals, lignin and hemicellulosic matter. The MFLC samples have not been adulterated in any way so as to produce ‘pure’ cellulose. Thus, the XRD patterns are atypical of ‘pure’ cellulose. The tentative assignments of the XRD patterns of MFLC processed at 170 and 220 °C suggest cellulose I<sub>β</sub>, with reflections at 14.8°, 16°, 22.6°, 34.5° (2θ) corresponding to possible Miller indices of (1–10), (110), (200) and (004), respectively (Poletto et al. 2013; French 2014; Kafle et al. 2014; Novo et al. 2015). The sharp peaks at 14.6°, 24.3° and 30°, as shown in Supporting Information Figure S3 B, tentatively suggest the existence of kaolinite (Al<sub>2</sub>Si<sub>2</sub>O<sub>5</sub>·(OH)<sub>4</sub>·H<sub>2</sub>O), cristobalite (SiO<sub>2</sub>), and apatite (Ca<sub>5</sub>(PO<sub>4</sub>)<sub>3</sub>(F, Cl, OH); i.e., biominerals which were absorbed from soil by the plant (Tazaki et al. 2017). Calcium oxalate was also detected in the MFC of citrus peels prepared via acid-free MHT (de Melo et al. 2017). However, further investigations are being conducted to ascertain the identity of these signals including checking for possible grease contamination of the sample holders.

### Thermal stability

Thermogravimetric analysis (TGA) was performed to investigate the thermal stability of cellulose under N<sub>2</sub> gas. The decomposition profiles with respect to temperature are shown in Supporting Information Figure S4 and the maximum thermal degradation temperature of cellulose for each sample is

**Table 4** Crystallinity Index of CP and AH MFLC. Results are presented as mean ± standard deviation (n = 3 samples)

MFCs	Crystallinity index (%)		
	120	170	220
CP	12.7 ± 2.8 (h)	41.3 ± 2.8 (e)	63.1 ± 2.3 (a)
CPET	18.3 ± 2.4 (g)	44.7 ± 2.1 (d, e)	63.9 ± 1.8 (a)
CPHP	17.1 ± 2.7 (g)	50.6 ± 2.3 (c)	65.7 ± 3.6 (a)
AH	36.6 ± 1.9 (f)	42.7 ± 3.9 (d, e)	54.4 ± 1.5 (b, c)
AHET	45.2 ± 2.4 (d, e)	45.4 ± 3.6 (d, e)	61.5 ± 1.6 (b)
AHHP	45.8 ± 1.8 (d)	53.2 ± 2.8 (b, c)	55.2 ± 3.5 (b, c)

Letters show statistically significant different groups with 95% confidence. Values not sharing the same letter in each row and/or column are statistically different



summarized in Table 5. Thermal depolymerization occurs in multiple stages depending on the thermal stability of each structural component and its proportion in the biomass (Chandra et al. 2016). The initial mass loss seen in all samples from 60 to 160 °C can be attributed to the vaporization of water and/or volatiles (moisture content) from the biomaterial, amounting to approximately 10 wt%. There is a distinct difference in the degradation of CP with respect to AH. AH showed an intense thermal event between 170 and 220 °C, which may be due to pectinaceous-like matter or an unidentified high-molecular-weight flavonoid or tannin-like-polyphenol (see Supporting Information Figure S4 DEF) (Esfahlan et al. 2010). This thermal event is not seen in CP. Thereafter, both cassava peel (CP, CPET and CPHP) and almond hull (AH, AHET and AHHP) show a thermal event approximately at 200–220 °C, which is more akin to the decomposition of pectinaceous matter (Liu et al. 2015; Matharu et al. 2016b). This thermal event is much weaker for CP, particularly for MFLC pretreated with ethanol. The next major thermal event occurs in the region 298–372 °C, characteristic of the decomposition of a mixture of hemicellulose and cellulose (Poletto et al. 2014). This decomposition temperature moves toward higher temperatures with respect to increasing microwave temperature. The samples tend toward increasing crystallinity correlating well with the CI data reported earlier.

Hemicellulose is more readily hydrolyzed than cellulose due to its amorphous structure, while cellulose has a long crystalline chain of glucose polymer, enhancing its thermal stability (Poletto et al. 2012). A notable shoulder, corresponding to the

depolymerization of hemicellulose in the untreated AH (the raw fibers) appears at 225–290 °C (Supporting Information Figure S4 D) and disappears with pretreatment. Meanwhile, the lignin decomposition occurs from 250 to 500 °C. The thermal decomposition of lignin is substantial due to the cross-linking of phenylpropane units and other high molecular weight groups (Yang et al. 2006; Poletto et al. 2014).

Interestingly, for all CP samples processed at 220 °C (CP, CPET and CPHP), there appears to be a significant change in the amount of residue left at 625 °C as can be seen in Supporting Information Figure S4 (ABC). Samples processed at 120 and 170 °C (and neat CP) afford 20–25 wt% residue, whilst at 220 °C, roughly 45 wt% of the residue remains. This material may have undergone significant hydrolytic pyrolysis to furnish a *pseudochar* or *pseudolignin*-like material. As discussed previously, a substantial darkening of samples processed at 220 °C is observed. This considerable change was not found in AH samples and the residue content plateaued at around 35 wt%. This correlates well with the more significant amount of lignin in AH than in CP.

### <sup>13</sup>C Solid-state CPMAS NMR analysis

Table 6 summarizes the deconvoluted chemical shift signals for the anhydroglucose portion of cellulose in neat CP, neat AH, ethanol pretreated (CPET or AHET), heptane pretreated (CPHP or AHHP) and their respective MFLCs obtained at 120, 170 and 220 °C. The corresponding full <sup>13</sup>C CPMAS NMR spectra are shown in Supporting Information

**Table 5** Thermal stability cellulose of CP and AH. Results are presented as mean ± standard deviation (n = 3 samples)

Cellulosic sample	Cellulose decomposition temperature (°C)			
	Native	120	170	220
CP	299.40 ± 2.22 (k)	306.83 ± 3.19 (i, j)	358.20 ± 3.64 (c, d, e)	372.13 ± 3.98 (a)
CPET	304.50 ± 3.84 (i, j, k)	320.34 ± 2.43 (h)	360.28 ± 3.92 (c, d)	369.26 ± 5.26 (a, b)
CPHP	298.17 ± 4.63 (k)	309.36 ± 4.66 (i)	362.17 ± 2.29 (c)	374.15 ± 3.99 (a)
AH	303.21 ± 5.70 (i, j, k)	347.53 ± 2.61 (f, g)	351.68 ± 5.58 (e, f, g)	363.28 ± 2.55 (b, c)
AHET	300.42 ± 4.87 (j, k)	346.18 ± 4.03 (g)	346.77 ± 4.59 (f, g)	364.03 ± 4.37 (b, c)
AHHP	304.12 ± 6.41 (i, j, k)	349.79 ± 2.56 (f, g)	353.56 ± 4.98 (d, e, f)	359.43 ± 4.22 (c, d)

Letters show statistically significant different groups with 95% confidence. Values not sharing the same letter in each row and/or column are statistically different

**Table 6** Deconvoluted  $^{13}\text{C}$  CPMAS NMR spectra of CP and AH MFLCs

Cellulosic samples	Resonances (ppm)					
	C1	C4	C2	C3	C5	C6
CP	104.65, 100.44	82.75	–	72.62	75.76	62.66, 60.48
CP120	105.71, 103.34	82.86	–	72.74	75.74	62.98, 60.63
CP170	104.41	88.91, 82.85	–	72.69	75.37	65.53, 62.84
CP220	106.16, 104.69	88.98, 84.83	71.96	72.99	75.33	65.47, 63.39
CPET	104.63, 100.76	82.94	–	72.64	75.46	62.67
CPET120	103.52	82.97	–	72.66	75.09	62.94, 60.92
CPET170	105.18	89.22, 83.75	–	72.66	75.34	65.42, 62.70
CPET220	106.05, 104.60	88.94, 84.04	71.89	72.89	75.29	65.53, 65.26
CPHP	104.24, 100.33	82.73	–	72.65	75.65	62.58
CPHP120	103.50	82.88	–	72.74	75.22	61.90
CPHP170	105.90, 104.54	88.95, 84.33	–	72.57	75.31	65.51, 62.73
CPHP220	106.03, 104.60	89.33, 84.26	71.90	73.02	75.28	65.52, 63.32
AH	105.26, 99.28	83.34	–	72.32	75.23	65.15, 62.67
AH120	105.28	89.12, 83.73	–	72.55	75.16	65.20, 62.94
AH170	105.36	89.14, 83.95	–	72.29	75.12	65.28, 62.63
AH220	106.01, 104.61	89.07, 84.15	71.84	72.87	75.33	65.42, 62.74
AHET	105.13, 98.87	83.87	–	72.35	75.32	65.34, 63.04
AHET120	105.27	88.97, 83.34	–	72.29	75.16	65.25, 62.63
AHET170	105.51	89.07, 82.86	–	72.40	75.28	65.29, 62.54
AHET220	106.00, 104.60	89.13, 83.95	71.80	72.87	75.23	65.33, 62.73
AHHP	105.45, 99.04	83.47	–	72.39	75.41	65.13
AHHP120	105.85	89.40, 84.03	–	72.61	75.51	65.39, 62.90
AHHP170	105.58	89.27, 83.91	–	72.56	75.46	65.38, 62.78
AHHP220	105.92, 104.70	89.04, 84.12	71.91	72.85	75.4	65.99, 62.99

Figure S5, which reveal additional resonances at approx. 165–180 ppm, 110–160 ppm and 25–40 ppm.

The resonances identified in Table 6 are characteristic of an anhydroglucose unit representative of carbohydrate polymers. For example, starch shows resonances at C1 (100 ppm), C4 (82 ppm) C2, C3, C5 (74–70 ppm), and C6 (61 ppm). (Guo et al. 2016; Yu et al. 2017) CP120 shows a series of resonances: C1 (103 ppm), C4 (82 ppm), C2,3,5 (72–74 ppm), and C6 (64 ppm), possibly corresponding to hemicellulose composed by 1–4-linked  $\beta$ -D-xylopyranose units. (Lyu et al. 2010) The neat AH appeared to contain 4-O-methyl glucuronic acid from hemicellulose, where the chemical carbon include C1 (98 ppm), C4 (83 ppm) and C3 (73 ppm) (Lyu et al. 2010). The chemical shifts of crystalline cellulose  $\text{I}_\beta$  signals are C1 (106 and 104 ppm), C2 (71 ppm), C3 (74.9 ppm), C4 (88.9 ppm), C5 (72 ppm), C6 (65 ppm) (Kono and Numata 2006). These resonances correspond to MFLC

samples produced 220 °C (Table 6). Effective removal of hemicellulose from cellulose and the increase in the degree of crystallinity can be observed from the resonances for C4 (82–89 ppm) and C6 (62–66 ppm), which are indicative of amorphous and crystalline cellulose (Supporting Information Figure S5). By increasing the microwave processing temperature from 120 to 220 °C, these resonances start to split into two and become sharper. The resonances associated with amorphous cellulose decrease in intensity, whilst those for crystalline cellulose increase. (Park et al. 2010) Additionally, the C2, C3 and C5 resonances also become sharper when the temperature increases. For example, a clear resonance is observed at approx. 71 ppm in all samples processed at 220 °C. Interestingly, CP MFLC at 220 °C, the broad resonances at 173–178 ppm, 160–110 ppm, and 21–30 ppm may be attributed to lignin and/or pseudolignins. (Ralph and Landucci

2010; Balakshin and Capanema 2015; Ladesov et al. 2018) Again, this supports our previous data (crystallinity index, ATR-IR and TGA) and the notion that above 170 °C significant leaching of amorphous cellulose takes place coupled with lignin either moving to surface or decomposition of cellulose to afford *pseudolignins*, which overall results in a MFLC material.

Of particular note on comparing the differences in the spectra between neat CP and AH is the significant presence of resonances in the aromatic region (110–160 ppm) and alkyl region (20–40 ppm) in the latter. This analysis may also confirm our original compositional data analysis in Table 1, which revealed that neat AH contains significantly more lignin (ca. 11 wt%) compared to CP (ca. 3 wt%). The resonances for lignin remain for all AH samples processed, and CP at 220 °C will also include resonances associated with *pseudolignins*.

### TEM analysis

TEM photographs provide useful insights into the classification of defibrillated celluloses. According to TAPPI WI3021 (Kargarzadeh et al. 2017; Hazwan Hussin et al. 2019), the hierarchical structure of cellulose fibers can be classified into nano-objects [cellulose nanocrystal (CNC), cellulose nanofibril (CNF)] and nanostructured cellulose [cellulose microcrystal (CMC), cellulose microfibril (CMF)]. CNC is pure crystalline fibers with  $W = 3\text{--}10\text{ nm}$  and aspect ratio  $L/W = 5\text{--}50$ , while CNF is fibrous cellulose of plant material that contains both crystalline and amorphous regions ( $W = 5\text{--}30\text{ nm}$ , aspect ratio  $L/W > 50$ ). Cellulose microcrystal (CMC) is depolymerized into high purity cellulose ( $DP < 400$ ) with a structured bundle of cellulose ( $W\ 10\text{--}15\ \mu\text{m}$ , aspect ratio  $L/W < 2$ ). In contrast, CMF or microfibrillated cellulose (MFC) in purified wood or non-wood yields multiple elementary fibrils with crystalline and amorphous regions, typically containing residual hemicellulose ( $W = 10\text{--}100\text{ nm}$ ,  $L = 0.5\text{--}10\ \mu\text{m}$ ). Microfibrillated lignocellulose (MFLC) is a non-bleached microfiber-like material, rich in cellulose but still containing some lignin (Oliaei et al. 2020).

Figure 1 displays the TEM images for MFLC of CP, CPET and CPHP with respect to microwave temperature processing. The black arrow on each image highlights the microfibril width, as calculated

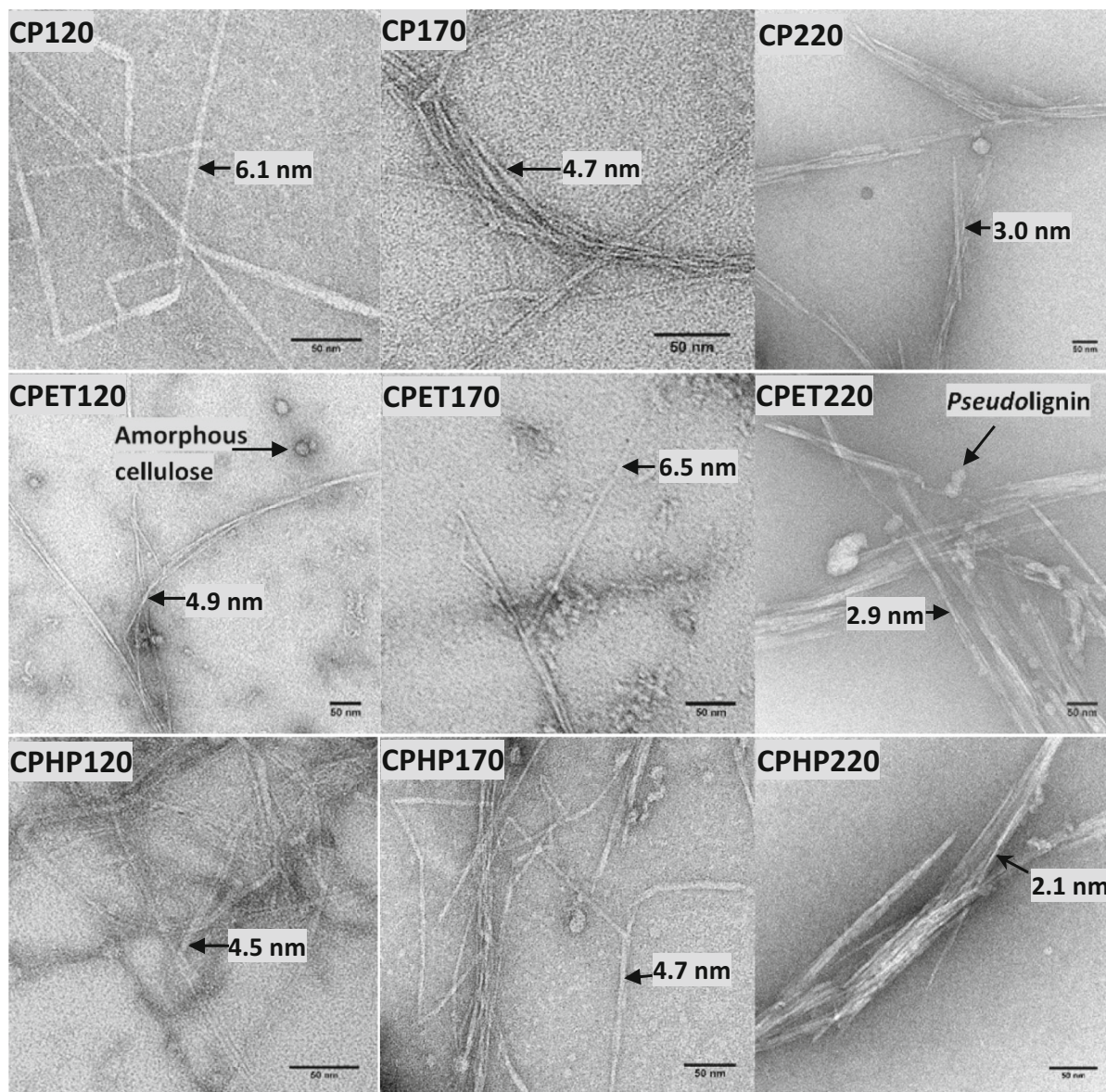
through the ImageJ software. The width of all fibers produced by microwave treatment with operating temperatures between 120 and 220 °C is relatively similar and below 11 nm. Thus, according to TAPPI WI3021, defibrillation via acid-free MHT produces MFLC. The microfibril is split into smaller aspect ratios (Zhang et al. 2016) and several elementary fibers ( $W < 3.5\text{ nm}$ ) can be found at a hydrolysis temperature of 170 °C and above (Chinga-Carrasco 2011; Mishra et al. 2018). Nanocrystal-like or needle-like fibers (lower aspect ratio) (Chen et al. 2015) can be observed from MFLC produced 220 °C with shorter lengths ranging from 0.5 to 2.7  $\mu\text{m}$ .

The TEM images of microfibrils obtained from microwave processing of AH are shown in Fig. 2. The average widths measured for AH MFLC were between 6.5 and 2.0 nm. Similar to CP reported earlier, increasing microwave processing temperature induces defibrillation to microfibrils to nanofibers and nanocrystals. Some residual amorphous matter entangled amongst the fibers was also present. The dark grey amorphous clusters are presumed to be *pseudolignin* or lignin surrounding the fibers. These recalcitrant clusters composed mainly of residual lignin-like fragments, were hydrolyzed at high temperatures (De Melo et al. 2017).

### Hydrolysate analysis

HPLC analysis on the resulting aqueous fraction (hydrolysate) after microwave treatment was performed to gain an insight into the chemical hydrolysis of biomass. As shown in Supporting Information Figure S6, the hydrolysates of all CP samples did not contain galacturonic acid, a sugar acid commensurate with pectinaceous matter (Flutto 2003). It is hypothesized that the effect of ethanol pretreatment could have removed partially removed xylose and glucose, because only a small amount of these were detected in the CPET120 hydrolysate. Even though most sugars are insoluble in cold or ambient temperature ethanol, Soxhlet extraction works near the boiling point of the solvent. This implies that the ethanol never reached its saturation temperature due to the recycling of fresh ethanol from the reflux condenser (Luque de Castro and García Ayuso 2000). Neat CP consists of a polymer of  $\alpha$ -glucose from starch with concentrations of 42–60.6% (w/w) (Ratnayake and Jackson 2003; Montagnac et al. 2009; Souto et al. 2016) and is





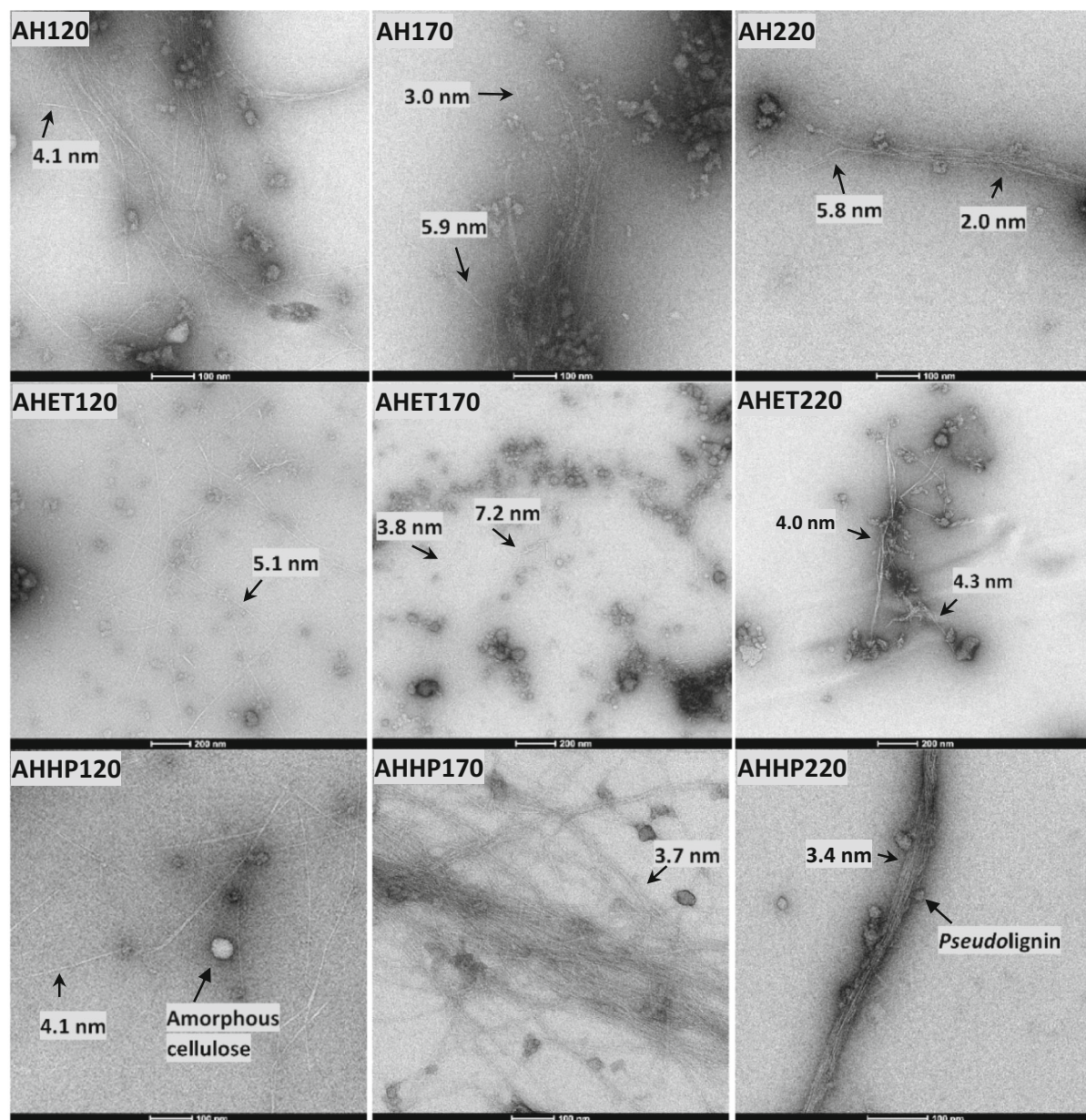
**Fig. 1** TEM images of CP, CPET and CPHP MFLCs at different processing temperatures

xylose-rich (Lu et al. 2017). AH hydrolysate from post-ethanol pretreatment between 120 and 170 °C showed the highest concentration of rhamnose and galacturonic acid compared to other hydrolysates (Supporting Information Figure S7). In this aqueous fraction, the xylose monomer was also not detected. The amounts of galacturonic acid (the main monomer in pectin) correlate well with the TGA data discussed earlier, as these species can be found in the

hydrolysate of AH only at a temperature below 170 °C (Chan et al. 2017).

Interestingly, the sugar analysis for the AH hydrolysate with heptane pretreatment did not identify the presence of rhamnose or galacturonic acid at a temperature of 120 °C. The extracted compounds from the lipids present in the biomass may be capable of altering the *pKa* of the reaction medium (e.g., from short/long fatty acid and rhamnolipids) and then, possibly the water contained in the medium may affect





**Fig. 2** TEM images of almond hull MFLCs produced at different temperatures

the polymerization of the sugar acids and rhamnose during Soxhlet extraction (Kobayashi et al. 2017; Soltani Dashtbozorg et al. 2019).

Scissoring of amorphous cellulose and hemicellulose compounds depends on the operating microwave temperature (Xia et al. 2016; De Melo et al. 2017). Mostly glucose and xylose were identified in the hydrolysate produced at temperatures below 170 °C. Hydrothermal conversion after 170 °C resulted in the

generation of by-products, such as formic acid, levulinic acid, and acetic acid. The formation of formic acid and levulinic acid yielded from glucose degradation, while acetic acid formation was attributed to xylose dehydration, associated with the lower amount of xylose as the temperature increases (Yoon et al. 2014; Delbecq et al. 2018). Besides, 5-hydroxymethyl furfural (5-HMF), furfural and levoglucosan (especially at high temperature) were more abundant

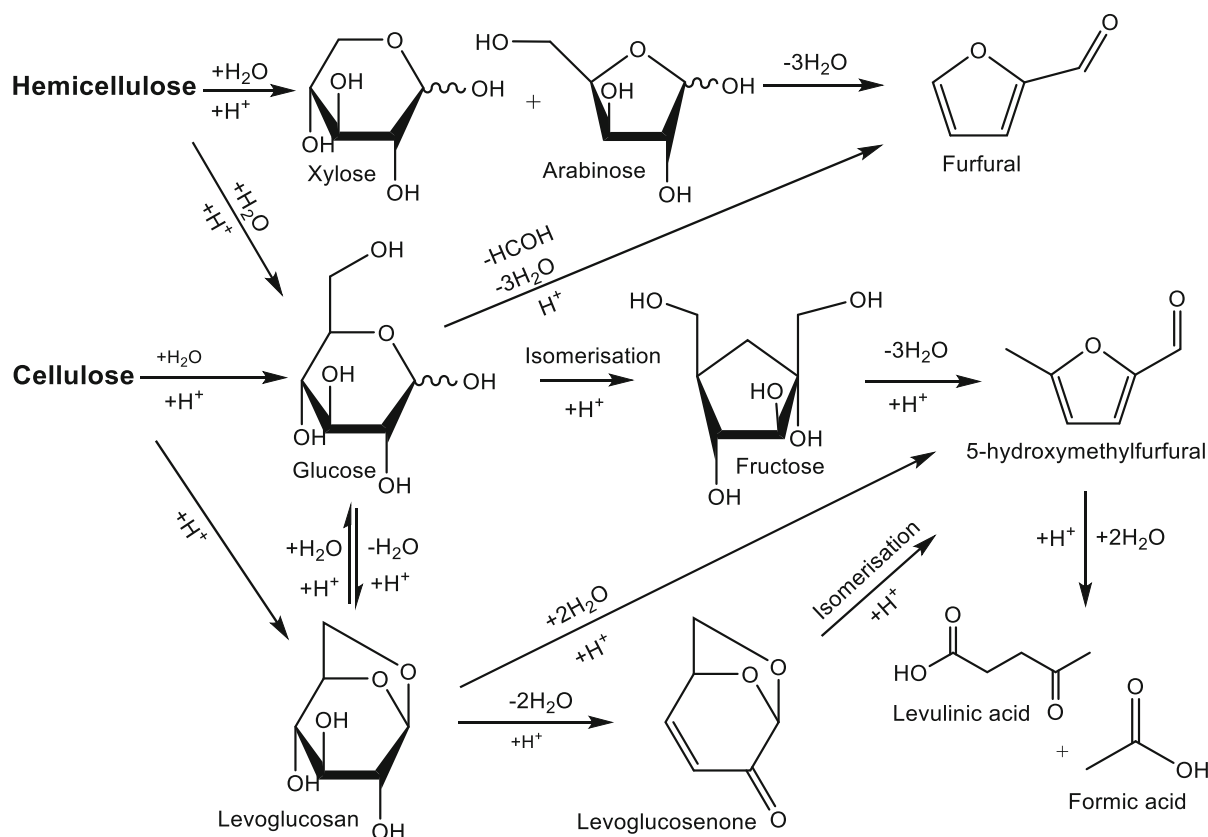
in the CP than in the AH hydrolysates. These species were identified as value-added chemical products from the conversion of derivative sugars (Danon et al. 2014; Cao et al. 2015; Sweygers et al. 2018). Phenolic compounds were not detected in the hydrolysate, suggesting that either lignin was not substantially depolymerized during the treatment or its depolymerization only gave water-soluble ligno-oligomers. Given these data, Fig. 3 shows a possible reaction pathway for cellulose and hemicellulose conversions to these value-added chemical products under the operating conditions used in this work.

### Zeta potential

The zeta potential describes the net surface charge of a colloid dispersed in water and can be used to determine the physical stability of an emulsion or suspension (Sabbah and Esposito 2016). A high zeta potential value indicates electrostatic repulsion

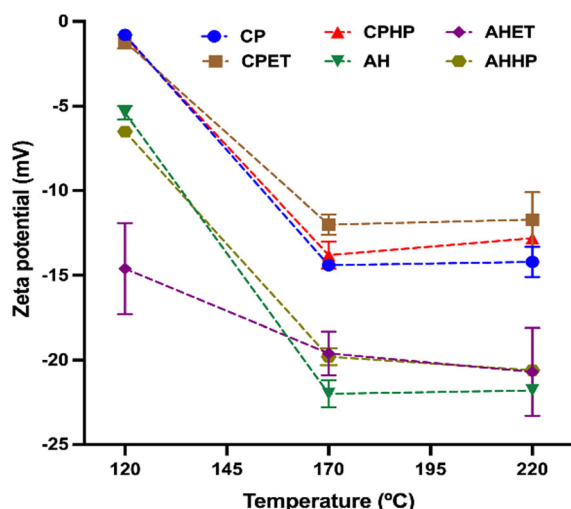
between particles, preventing aggregation (high colloidal stability  $\zeta = \pm 40\text{--}60$  mV). Conversely, a low zeta potential value colloid means that the suspended particles have high electrostatic attraction and weak repulsion, thus, tend to flocculate, especially over extended periods (rapid coagulation,  $\zeta = \pm 0\text{--}5$  mV) (Tholstrup Sejersen et al. 2007; Pelissari et al. 2014).

As shown in Fig. 4, microwave processing temperature directly affects the zeta potential in neutral water (pH 7), i.e., increasing temperature decreases the zeta potential until a temperature of 170 °C is reached, whereafter, the zeta potential remains relatively constant. All fibers exhibited a negative zeta potential in which AH MFLC consistently displayed a higher zeta potential than CP fibers. At a hydrolysis temperature of 120 °C, the zeta potential of CP ranged between  $-0.8$  and  $-1.2$  mV. For AH non-treatment and heptane treatment, it was quite similar between  $-5.4$  and  $-6.5$  mV, except for the ethanol treatment in which the zeta potential turned to be more negative at



**Fig. 3** Sugar conversion pathways to furfural, 5-HMF, levulinic acid and formic acid (Dhepe and Sahu 2010; Cao et al. 2015; Herbst and Janiak 2016)





**Fig. 4** Zeta potential of CP and AH MFLCs at pH neutral. Bars represents standard deviations ( $n = 3$  measurements)

the temperature of 120 °C ( $-14.6$  mV). A hydrothermal treatment at 170 and 220 °C caused a slightly significant change to the zeta potential of pretreated and non-pretreated fibers, where CP ranged between  $-11.7$  and  $-14.4$  mV and AH between  $-19.6$  and  $-22.0$  mV. The more negative value of zeta potential for MFLC in water, the higher is the repulsion between particles, thus increasing the hydrophobic behavior (Huang et al. 2017).

#### MFLC-based films

The MFLC derived from AH and CP was used to fabricate thin films, shown in Supporting Information Figure S8. The films were prepared using 0.2% (w/v) of MFLC dispersed in deionized water and poured into a PTFE membrane filter. From the low-temperature group of MFLCs, only AHET120 successfully made a film compared with the other samples which broke easily when peeled from the PTFE membrane. All films from CP120 MFLC became stuck to the membrane, were brittle, cracking very easily upon drying (not shown). However, all MFLCs from microwave hydrolysis at 170 and 220 °C quickly dried and formed a structured film. This could be related to decreased water retention and swelling of the fibers with increasing temperature during the hydrolysis process (Matharu et al. 2018). The fibers tend to increase hydrophobic character and hornify as the hydrolysis temperature increases (Diniz et al.

2004). Intermolecular interactions, including electrostatic, hydrogen bonding, and hydrophobic interaction, influence film structure stability (Garcia et al. 2016). These results indicate that the microwave treatment was the driving force for film formation. The transparency of the films depended on the treatment of the fiber source. This can be seen in the aforementioned image, i.e., the light brown films at low-temperature turned to black films at high-temperature. Black films indicate a high lignin content of MFLC with hydrophobic characteristics (Jang et al. 2020).

The SEM analysis of MFLC films produced at low temperatures showed a rough texture [see Supporting Information Figure S9 (AH) and Figure S10 (CP)], visually similar to a chunk of wood-like material or flax fibers and bundles of fibers crossing over each other. For example, the surface and cross-section of AHET120 and AHHP120 films with the width ranging between 9 and 18  $\mu\text{m}$ , roughly. In comparison, fiber bundles of sugar palm cellulose produced via the delignification and mercerization methods have a width of approximately 11.8  $\mu\text{m}$  (Ilyas et al. 2019). Surface roughness decreased with increasing temperature. The MFLC produced at high temperatures generated a visibly smoother film than films produced using materials prepared at low temperatures. Incomplete removal of non-cellulosic materials may have influenced the roughness of the film, and many fibril bundles result in the surface being irregular. There is a correlation between the preparation of bio-film and zeta potential. If the particles suspended in the water (mixture or single component) have a zeta potential between lower than  $+10$  mV or less negative than  $-10$  mV, then the film will become unstable and tough (Sabbah and Esposito 2016). This conclusion is in good agreement with our zeta potential results, wherein the MFLC 120 group from AHET120 ( $\zeta = -14.6$  mV) showed the lowest zeta potentials and could make good films (not easily ripped when peeled from membrane).

#### Hydrogel formation

The term ‘hydrogel’ describes a swollen polymer that retains a large amount of water (at least 90% of water) by maintaining a three-dimensional (3D) network of hydrophilic linkages suspended in an aqueous solution (Cha et al. 2012; Bahram et al. 2016). Forming a gel can be predicted and controlled from polymer

structure, molecular symmetry and medium by the presence of hydrophilic groups attached to the polymeric backbone such as  $-\text{COOH}$ ,  $-\text{OH}$ ,  $-\text{NH}_2$ ,  $-\text{CONH}_2$ ,  $-\text{CONH}$  and  $-\text{SO}_3\text{H}$  (Bahram et al. 2016; Shanks and Pardo 2018).

Cellulose alone is not soluble in water, and most cellulose-based hydrogels are generated within a hybrid system by surface modification, e.g., methylcellulose, carboxymethyl cellulose, hydroxyethyl cellulose (Koschella et al. 2011; De France et al. 2017; Kabir et al. 2018; Shanks and Pardo 2018). To maximize the amount of trapped water, the sol–gel phase transition is subjected to physical and/or chemical stimuli. Physical stimuli include heating, magnetic field, light, pressure, sonication, and homogenization, while chemical stimuli include pH medium-responsive, via ions of salt addition and chemical addition network trigger (Soares et al. 2009, 2014; Ullah et al. 2015; Bahram et al. 2016; De France et al. 2017; Chatterjee and Chi-leung Hui 2019). The chemical network is built through covalent bonds to improve stability and strengthening of the network when space is filled with water. At the same time, physical forces cause entanglement or junction of fibers via ionic bonding, hydrogen bonding, or van der Waals interactions where the molecular self-assembly depends on environment changes helping to trap the liquid (Ciolacu and Suflet 2018).

The physical stimuli used in the present work to stimulate the formation of hydrogel comprised an initial homogenization step for 5 min, followed by an ultrasound treatment for 10 min, with an amplitude of 65%. The MFLC hydrogel was produced in this work without any chemical surface modification. The minimum concentration of AH MFLC required to form a stable hydrogel was identified to be around 2% (w/v) for AH120 and AHHP120, whilst for AHET120, the minimum concentration was 3% (w/v), determined by an inversion test (see Supporting Information Figure S11). Interestingly, CP120 and CPET120 produced hydrogels at significantly higher concentrations of 7% (w/v), whilst CPHP120 was able to form a gel at 5% (w/v) (Supporting Information Figure S12). The high concentration of gelation in CP could be related to the absence of galacturonic acid and/or pectinaceous matter (as earlier discussed in HPLC analysis) in these samples. The presence of galacturonic acid/pectinaceous matter was evident in AH MFLC samples but these also contained a significant

amount of lignin or condensed tannins (TGA analysis). Furthermore, the AH hydrolysates contained monomeric sugar units of rhamnose, another key fragment within pectin (Thakur and Thakur 2016). Electrostatic (or ionic) cross-linked pectin builds strong networks with covalent polysaccharide domain bonds (Chan et al. 2017). A small number of anionic surface charges from residual hemicellulose made a firm gel, forming the entangled network at a lower concentration when compared to pure nanocrystalline cellulose (CNC) itself (De France et al. 2017).

MFLC produced at 120 °C exhibited excellent dispersion in water, demonstrating a higher hydrophilic interaction than the MFLC produced at 170 °C and above, which tended to be less hydrophilic, resulting in low swelling. Hydrophobicity of MFLC increased with the temperature of hydrolysis. The formation of *pseudolignin* and/or lignin at high temperature, which has a lower negative surface charge, and it is insoluble in water due to the presence of carbonyl, methoxy and polyaromatic structures, might be responsible for this phenomenon (Sannigrahi et al. 2011; Shinde et al. 2018).

## Conclusions

This work has demonstrated that acid-free microwave-hydrothermal is a promising green technology for food waste valorization at relatively low temperatures and very short processing times. Recovery feedstock of CP and the AH was found to be feasible for biobased materials (MFLC) and chemicals (sugar-rich solutions/value-added chemicals) production. Our results point out that the pretreatment step, the microwave processing temperature and feedstock nature exerted a significant influence on the yields and properties of the MFLCs produced. Increasing the MHT temperature substantially affected the yield of MFLC, crystallinity index, thermal stability and MFLC chemical composition. These changes influenced the gelation capabilities of the materials produced at high temperatures. These materials were capable of producing stable hydrogels with a minimum solid concentration ranging between 2 and 7% w/v, regardless of the feedstock or pretreatment. This work demonstrates that the acid-free MHT processing of unavoidable food waste is a facile and promising method to produce MFLC with many potential applications in different sectors, for

example, adsorption, packaging and the production of nanocomposites and personal care rheology modifiers.

**Acknowledgments** APS would like to thank Lembaga Pengelola Dana Pendidikan (LPDP) scholarship, Ministry of Finance, Indonesia, for financial support for PhD study under the guidance of ASM. JR would like to express his gratitude to the Spanish Ministry of Science, Innovation and Universities for the Juan de la Cierva fellowships (FJCI-2016-30847 and IJC2018-037110-I) awarded. ASM acknowledges the EPSRC (Whole systems understanding of unavoidable food supply chain wastes for re-nutrition EP/P008771/1) for funding TD for Postdoctoral study.

**Author Contributions** The manuscript was written through the contributions of all authors. All authors have given approval to the final version of the manuscript.

### Compliance with ethical standards

**Conflict of interest** The authors declare that they have no conflict of interest.

**Ethical approval and ethical standards** The authors declare no animal studies or human participants were involved in the study. The work is ethically sound.

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